Code Assessment

of the Herodotus on Starknet

Smart Contracts

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Produced for



by



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1 Executive Summary

Dear all,

Thank you for trusting us to help Herodotus with this security audit. Our executive summary provides an overview of subjects covered in our audit of the latest reviewed contracts of Herodotus on Starknet according to Scope to support you in forming an opinion on their security risks.

Herodotus provides a bridge between Ethereum's L1 and Starknet's L2, allowing for trustless proofs of state and storage values of Ethereum accounts on Starknet. Data integrity is ensured through on-chain verification mechanisms leveraging Merkle Mountain Range (MMR) and Merkle Patricia Trie (MPT) verifications.

The most critical subjects covered in our audit are security vulnerabilities and the validity and integrity of the state and storage proofs. Amongst others, the following issues have been uncovered:

- Broken CairoLib Dependency
- MMR: Verify Against An Intermediate Node Is Possible
- Empty/inexistent storage slots can not be proven

All high severity issues have been resolved.

The general subjects covered are functional correctness, robustness and usability.

In summary, we find that the codebase provides a good level of security. It's worth noting that more thorough testing could have identified most of these issues early. Moreover, there is still room for enhancement in the testing processes. Core functionality of the project is tested with minimal test cases only.

It is important to note that security audits are time-boxed and cannot uncover all vulnerabilities. They complement but don't replace other vital measures to secure a project.

The following sections will give an overview of the system, our methodology, the issues uncovered and how they have been addressed. We are happy to receive questions and feedback to improve our service.

Sincerely yours,

ChainSecurity



1.1 Overview of the Findings

Below we provide a brief numerical overview of the findings and how they have been addressed.

| Critical - Severity Findings | | 0 |
|------------------------------|-----|---|
| High-Severity Findings | | 4 |
| Code Corrected | | 4 |
| Medium-Severity Findings | | 3 |
| Code Corrected | | 3 |
| Low-Severity Findings | | 4 |
| • Code Corrected | 1/2 | 2 |
| Code Partially Corrected | | 1 |
| • No Response | | 1 |



2 Assessment Overview

In this section, we briefly describe the overall structure and scope of the engagement, including the code commit which is referenced throughout this report.

2.1 Scope

The assessment was performed on the source code files inside the Herodotus on Starknet repository based on the documentation files. The table below indicates the code versions relevant to this report and when they were received.

| V | Date | Commit Hash | Note |
|---|----------------------|--|-----------------------------|
| 1 | 19 September 2023 | 922117d3dbb97a69c8db3268033f2406ea62f a84 | Initial Version |
| 2 | 5 October 2023 | 728fdaddf91e86ec6080313e4fda2b8d52a1b ad6 | Bugfixes parallel to review |
| 3 | 30 October 2023 | 48b14eeb4d276770ee0b58744755eec4b949 2831 | After Intermediate Report |
| 4 | 1 November 2023 | aac22133057764fd8052d10b2fc12c80835b7 a85 | Fixes |
| 5 | 2 November 2023 | 9c8300a3aa4dc85b63037c7a02a3a916a1b1 210b | Non Inclusion Proofs |
| 6 | 9 November 2023 | 6db52a3339df4df04b80cd545f100b093188b 15a | Fixes |

For the solidity smart contracts, the compiler version is floating as ^0.8.13. After the intermediate report the compiler version was fixed to 0.8.21.

For the cairo code, the compiler version 2.2.0 was chosen. At the time of this review (October 2023) Starknet v0.12.2 was live on mainnet. This review cannot account for future changes and possible bugs in Starknet and it's libraries.

The following file was in scope of this review:

```
l1/src/L1MessagesSender.sol
l1/lib/FormatWords64.sol
l1/lib/Uint256Splitter.sol

src/core/commitments_inbox.cairo
src/core/evm_facts_registry.cairo
src/core/headers_store.cairo

src/remappers/interface.cairo
src/remappers/timestamp_remappers.cairo
```

2.1.1 Excluded from scope

Any file not listed above is excluded from the scope.



Cairo-lib, the library used for low level operations has been reviewed as part of another report. Several issues uncovered in that report affect Herodotus on Starknet. These issues have not been repeated in this report, please refer to the report of Cairo-lib.

2.2 System Overview

This system overview describes the initially received version (Version 1) of the contracts as defined in the Assessment Overview.

Furthermore, in the findings section, we have added a version icon to each of the findings to increase the readability of the report.

Herodotus allows anyone to trustlessly prove any past headers, state, and storage values of L1 contracts to other L2 contracts via MMR (Merkle Mountain Range) and MPT (Merkle Patricia Trie) verifications.

2.2.1 L1 Contracts

The L1MessageSender contract acts as a bridge to relay data (Block Hashes and MMR Trees) from L1 / Ethereum to L2 / Starknet.

Upon the contract's deployment, several key values are initialized and msg.sender will be assigned the owner role.

- starknetCore: Core starknet contract used for L1->L2 messaging.
- 12RecipientAddr: Designated recipient on Starknet.
- AggregatorFactory: AggregatorFactory produces accurate MMR encapsulating historical blockhashes. Fully trusted.

Permissionless functionalities, accessible for anyone:

- 1. Sending BlockHashes from L1 to L2 is done using the following functions:
- sendExactParentHashToL2(): Sends a specific parent block hash to L2.
- sendLatestParentHashToL2(): Sends the latest parent block hash (blocknumber-1) to L2.
- 2. Sending Poseidon MMR Trees:
- sendPoseidonMMRTreeToL2(): Sends the PoseidonMMRTree for the given ID retrieved from the trusted aggregator factory to L2.

Sending messages incurs a charge in Ether, the caller must send sufficient Ether along the transaction.

- 3. The owner can access functionalities to update the following system variables:
- setL2RecipientAddr(): Updates the L2 recipient address.
- setAggregatorsFactoryAddr(): Updates the address of the aggregators factory.
- transferOwnership(): Transfer the ownership to a new address.
- renounceOwnership(): Relinquish the ownership by setting the owner to zero address.

Message cancellation mechanism is not supported by L1MessageSender, once sent to Starknet Core, the message cannot be cancelled.



2.2.2 L2 Contracts

2.2.2.1 Commitments Inbox

The CommitmentsInbox contract serves as an intermediary receiving the messages from L1 and forwards the data to the HeadersStore contract.

Upon initialization the following addresses are set:

- headers_store: A reference to the contract responsible for storing information about valid headers.
- 11_message_sender: The Ethereum address permitted to send messages to this contract.
- owner: Optional. If not provided, the caller becomes the owner.

Getters and Setters:

- get_headers_store(): Retrieves the address of the headers store contract.
- set_headers_store(address): Allows the owner to update the headers store address.
- get_l1_message_sender(): Fetches the Ethereum address stored as the L1 message sender.
- set_l1_message_sender(address): Permits the owner to set a new Ethereum address as the L1 message sender.

Ownership related functionalities:

- get_owner(): Retrieves the contract's current owner address.
- transfer_ownership(new_owner): Enables the owner to transfer ownership rights to another address. An event (OwnershipTransferred) is emitted following a successful transfer.
- renounce_ownership(): Allows the owner to relinquish their ownership. An event (OwnershipRenounced) is triggered post-renouncement.

Commitment Reception (Owner Only):

• receive_commitment_owner(blockhash, block_number): Allows the owner to submit a blockhash for a given block number. This data is sent to the headers_store contract, followed by the emission of the CommitmentReceived event.

L1 Handler for Commitment Reception:

receive_commitment(from_address, blockhash, block_number): Receives L1 messages from l1_message_sender and forwards the blockhash and block number to the headers_store contract. Emits the CommitmentReceived event.

L1 Handler for MMR Data Reception:

receive_mmr(from_address, root, last_pos, aggregator_id): Receives L1 messages with MMR data from the L1 message sender. The data is transmitted to the headers_store contract for processing. An MMRReceived event is emitted subsequently.

2.2.2.2 Headers Store

Headers Store, bound to contract Commitments Inbox, maintains a mapping of received blocks, MMRs of block Poseidon hashes, and MMR historical records. It's main purpose is to serve as reference to check valid block headers.

The following functions are restricted to the Commitments Inbox:

• receive_hash(): This will be called every time the Commitments Inbox gets a L1 call to receive_commitment(). It simply stores the received block number and parent hash into a mapping. Later, the received blocks can be consumed and added to any of the MMRs in a permissionless way.



• create_branch_from_message(): This will be called every time the Commitments Inbox gets a L1 call to receive_mmr(). It will create a new MMR into the MMR forest with the received root and last_pos.

Users can also checkout from an existing MMR to a new MMR (create_branch_from) or create a new MMR from one element in another MMR (create_branch_single_element).

process_batch() allows users to batch append block hashes to any MMRs. Users need to submit a batch of consecutive blocks with descending block numbers. It only needs to verify the inclusion or the receipt of the most recent block, since a child block refers to a parent block deterministically: the parent block header can be verified by keccak256 hashing and comparing it with the parentHash stored in the child block.

This verification is done using the actual keccak256 hash of the block, the entry into the MMR however is the Poseidon hash (much cheaper to compute on Starknet) of the block. Hence for all MMR operations the Poseidon hash must be passed.

Once included in the MMRs, anyone can prove against the block hashes onchain by calling:

- verify_mmr_inclusion(): Verify an MMR inclusion proof against an MMR.
- verify_historical_mmr_inclusion(): Verify an MMR inclusion proof against a historical record of an MMR.

The following getters are further provided:

- get_commitments_inbox(): Returns the Commitments Inbox contract address.
- get_mmr(): Returns the MMR given a specific id.
- get_mmr_root(): Returns the root of a specific MMR.
- get_mmr_size(): Returns the last_pos (size) of a specific MMR.
- get_received_block(): Returns a received block hash given a block number.
- get_latest_mmr_id(): Returns the latest created MMR id.
- get_historical_root(): Returns the historical root of a MMR at a certain size.

2.2.2.3 EVM Facts Registry

EVM Facts Registry implements the functionalities for users to prove and register facts (the fields or the storage of an address towards a designated block) by onchain verification of MMR and MPT proofs in a permissionless way. This contract is bound to a Headers Store.

The state of an Ethereum account, the quadruplet fields (StorageHash, CodeHash, Balance, Nonce) of a 20-bytes Ethereum address are stored in the World-State-MPT with a 32-bytes key: keccak256(address). Its storage of a given slot is stored in the Account-State-MPT with a 32-bytes key: keccak256(slot). One can prove the quadruplet fields and the storage values by constructing proofs against the block.stateRoot and the account.storageRoot respectively.

The user can prove any fields of an account (prove_account()) and write a subset of the fields into the registry contract.

- 1. **Block Verification**: The user should submit the RLP-encoded block header together with a proof of its inclusion in one MMR of the Headers Store. After a successful verification of the block's inclusion, the world state root and the block number will be retrieved from the block header.
- 2. **Account Verification**: The user submitted account MPT proof can be verified with the obtained state root. Once the verification succeeds, the account fields are decoded and some selected fields (in little-endian) will be written in the registry contract.

The user can only prove the storage of an account (prove_storage()) once it proves and stores its StorageHash into the contract by prove_account(). One should submit a MPT proof against the



previously verified StorageHash. The slot value (in little-endian) will also be written in the registry contract.

Note that one can only prove the fields and storage slots given included blocks in the Headers Store. Besides, prove_account() expects a vanilla Ethereum address, whereas prove_storage() expects keccak256(slot) instead of slot itself as input.

The following view functions are also provided:

- get_headers_store(): Returns the Headers Store contract address.
- get_account_field(): Returns the value of a field given (account, block). If the field has not been proved yet, it will return Option::None.
- get_slot_value(): Returns the storage value of a slot given (account, block). If the slot has not been proved yet, it will return Option::None.
- get_account(): Returns the value of some fields given (account, block) and proofs.
- get_storage(): Returns the storage value of a slot given (account, block) and proofs.

2.2.2.4 TimeStamp Remappers

Headers Store accomplishes the unordered inclusion of block hashes into the MMRs, as a consequence, users can not find the closest Ethereum block number towards a timestamp. Therefore, TimeStamp Remappers is implemented as a permissionless contract to re-index the blocks of Headers Store into MMRs with strictly ascending consecutive order. This contract is bound to a Headers Store.

Anyone can create a new mapper (create_mapper()) with a start block number. Each mapper is identified by its unique mapper id. The start block, last timestamp, elements number, as well as its historical MMRs are tracked in the contract.

One can update a designated remapper (reindex_batch()) by inserting the next blocks. For each of the blocks:

- 1. **Expected Block Number**: The user submitted block header will be decoded to retrieve its block number and timestamp. The block number should match the exact next one in the remapper.
- 2. **Block Header Verification**: The block hash will be computed from the header and its inclusion will be verified towards a historical MMR in Headers Store.
- 3. Once everything succeeds, the block timestamp will be appended to the remapper MMR.

One can query the last timestamp of a remapper by get_last_mapper_timestamp().

Function <code>get_closest_ll_block_number()</code> is implemented to find the closest block number that is no later than the input timestamp. As the remapper MMR does not store the leaves (timestamps) itself, users should submit the MMR inclusion proofs of the binary search's mid-point elements. It will do a binary search on a remapper MMR and verify the inclusion of the mid-point in each iteration. An inclusion proof of the result leaf should also be provided by the user.

This provides the utility to map arbitrary timestamps to L1 block numbers.

2.2.3 Roles and Trust Model

The state and storage proofs work in a permissionless way with the contracts ensuring the integrity of the data. Contracts have permissionless entrypoints for any callers to initiate updates, the integrity of the data is ensured in the contracts by validating the submitted proofs. A proof can only be valid if it reflects the actual state of the L1 data.

There are some caveats to this trustless system:

• The CommitmentInbox has a privileged owner, which can update commitments of blockhash and blocknumber. For the trustless operation, it's imperative that the owner has renounced ownership without having submitted incorrect data before.



- Not only blockhashes & numbers directly queried with the EVM Opcode can be sent to L2 but also
 pre-aggregated MMRs thereof provided by the AggregatorFactory. The AggregatorFactory (out of
 scope of this review) hence must be fully trusted to provide valid MMRs only. Otherwise, forged
 block hashes can be inserted, on top of which all the proven states could be manipulated.
- L1 contract L1MessageSender has an owner which can update the L2 recipient address and the aggregator factory. Due to the ability of being able to update the aggregator, this role must be fully trusted. Otherwise, a malicious aggregator could be added, which can propagate forged block hashes to L2.

The smart contracts are immutable and not to be deployed behind proxies.

Users interacting with the system are untrusted.

The cross-chain message of Herodotus on Starknet is dependent on the Starknet Core Contracts on L1 and the Starknet Sequencer. The Starknet Core Contracts are upgradable and could be changed in the future. The sequencer has not yet been decentralized at the time of this review (October 2023) and could impose censorship on messages. They are untrusted.

2.2.4 Changes in Version 3

The following functional changes were introduced in Version 3):

evm_facts_registry:

get_storage(): Actual value stored is now returned instead of the RLP encoded value.

headers store:

• Events BranchCreatedFromElement, BrancheCreateFromL1 and BranchCreateClone replace the generic BranchCreated event.

2.2.5 Changes in Version 4

The following functional changes were introduced in Version 4:

evm_facts_registry:

• Values of account fields and storage slots are now handled in big endian.

2.2.6 Changes in Version 5

The following functional changes were introduced in Version 5:

evm_facts_registry:

• Support to prove non-inclusion of accounts in the World State Trie has been added.

2.2.7 Changes in Version 6

The following functional changes were introduced in Version 6:

evm_facts_registry:

• Support to prove unset slots / slots with a value of zero in the Account Storage Trie has been added.

Header Store:

• The event (ProcessedBatch) emitted from process_batch() has been updated to be inclusive on both the start block and the end block appended.



3 Limitations and use of report

Security assessments cannot uncover all existing vulnerabilities; even an assessment in which no vulnerabilities are found is not a guarantee of a secure system. However, code assessments enable the discovery of vulnerabilities that were overlooked during development and areas where additional security measures are necessary. In most cases, applications are either fully protected against a certain type of attack, or they are completely unprotected against it. Some of the issues may affect the entire application, while some lack protection only in certain areas. This is why we carry out a source code assessment aimed at determining all locations that need to be fixed. Within the customer-determined time frame, ChainSecurity has performed an assessment in order to discover as many vulnerabilities as possible.

The focus of our assessment was limited to the code parts defined in the engagement letter. We assessed whether the project follows the provided specifications. These assessments are based on the provided threat model and trust assumptions. We draw attention to the fact that due to inherent limitations in any software development process and software product, an inherent risk exists that even major failures or malfunctions can remain undetected. Further uncertainties exist in any software product or application used during the development, which itself cannot be free from any error or failures. These preconditions can have an impact on the system's code and/or functions and/or operation. We did not assess the underlying third-party infrastructure which adds further inherent risks as we rely on the correct execution of the included third-party technology stack itself. Report readers should also take into account that over the life cycle of any software, changes to the product itself or to the environment in which it is operated can have an impact leading to operational behaviors other than those initially determined in the business specification.



4 Terminology

For the purpose of this assessment, we adopt the following terminology. To classify the severity of our findings, we determine the likelihood and impact (according to the CVSS risk rating methodology).

- Likelihood represents the likelihood of a finding to be triggered or exploited in practice
- Impact specifies the technical and business-related consequences of a finding
- · Severity is derived based on the likelihood and the impact

We categorize the findings into four distinct categories, depending on their severity. These severities are derived from the likelihood and the impact using the following table, following a standard risk assessment procedure.

| Likelihood | Impact | | |
|------------|----------|--------|--------|
| | High | Medium | Low |
| High | Critical | High | Medium |
| Medium | High | Medium | Low |
| Low | Medium | Low | Low |

As seen in the table above, findings that have both a high likelihood and a high impact are classified as critical. Intuitively, such findings are likely to be triggered and cause significant disruption. Overall, the severity correlates with the associated risk. However, every finding's risk should always be closely checked, regardless of severity.



5 Findings

In this section, we describe any open findings. Findings that have been resolved have been moved to the Resolved Findings section. The findings are split into these different categories:

- Security: Related to vulnerabilities that could be exploited by malicious actors
- Design: Architectural shortcomings and design inefficiencies
- Correctness: Mismatches between specification and implementation

Below we provide a numerical overview of the identified findings, split up by their severity.

| Critical -Severity Findings | 0 |
|-----------------------------|---|
| High-Severity Findings | 0 |
| Medium-Severity Findings | 0 |
| Low-Severity Findings | 2 |

- Loosely Restricted Account Type
- Floating Pragma and Dependency Code Partially Corrected

5.1 Loosely Restricted Account Type



CS-HRDS-001

In contract evm facts registry, function $get_account_field$ and $get_account$ accepts an input account (Ethereum address) as a felt252. As an Ethereum address is only 160-bit long, it is loosely restricted. Though the internal $get_account()$ will eventually revert upon casting u256 into u64 if the input's upper 96 bits are not zeros.

5.2 Floating Pragma and Dependency



CS-HRDS-002

For the L1 Solidity contracts, the pragma is floating and no compiler version has been fixed.

Similarly the cairo_lib dependency is not fixed in Scarb.toml, instead the most recent version of master is used.

Contracts should be deployed using dependencies and compiler version they have been thoroughly tested with.

Code partially corrected:

The Solidity compiler version has been fixed to version 0.8.21. However, the cairo_lib dependency is still floating, now tracking the branch audit.



Resolved Findings 6

Here, we list findings that have been resolved during the course of the engagement. Their categories are explained in the Findings section.

Below we provide a numerical overview of the identified findings, split up by their severity.

Critical -Severity Findings 0 High-Severity Findings 4

- Wrong Values for Non-Existing Accounts Code Corrected
- Broken CairoLib Dependency Code Corrected
- Empty/inexistent Storage Slots Can Not Be Proven Code Corrected
- MMR: Verify Against an Intermediate Node Is Possible Code Corrected

Medium - Severity Findings

3

- Incorrect Expected Block Computation Code Corrected
- Remapper Last_Timestamp Can Be Reset to 0 Code Corrected
- Unchecked Slot Length Code Corrected

Low-Severity Findings

2

- End Block May Underflow if the Start Block Is 0 Code Corrected
- Missing OwnershipRenounced Event by Transferring to Zero Address Code Corrected

Informational Findings

6

- Option<T> but Only Actual Element Supported Code Corrected
- Unnecessary Retrieval of Header Store Code Corrected
- Duplicated Peaks of Proof_Element Code Corrected
- Unused FormatWords64 Library Code Corrected
- Unused ProofElement.last_pos Code Corrected
- Close to Ressource Limit in Starknet Code Corrected

Wrong Values for Non-Existing Accounts



Correctness High Version 5 Code Corrected

CS-HRDS-006

Version 5 added support to prove non-inclusion of accounts in the state trie, which means there is no valid path in the world state trie that matches the input key (keccak of account address). For accounts not present in the given world state trie, the following default values are returned:

```
const EMPTY STORAGE HASH: u256 =
    0x56e81f171bcc55a6ff8345e692c0f86e5b48e01b996cadc001622fb5e363b421;
const EMPTY_CODE_HASH: u256 =
    0xc5d2460186f7233c927e7db2dcc703c0e500b653ca82273b7bfad8045d85a470;
```



```
const EMPTY_BALANCE: u256 = 0x0;
const EMPTY_NONCE: u256 = 0x0;
```

This is incorrect: For non-existing accounts at a given block, all fields are 0x0.

For existing accounts without code or storage the fields are set to EMPTY_CODE_HASH or EMPTY_STORAGE_HASH respectively.

Code corrected:

Code has been corrected to return 0x0 for fields of inexistent accounts.

6.2 Broken CairoLib Dependency



CS-HRDS-017

The smart contracts are built on Herodotus CairoLib and inherits the vulnerabilities it has. Here we provide a non-exhaustive list:

- Keccak Discards Leading Zero Bytes In Last Little Endian Words64:
- 1. HeaderStore.process_batch() will revert in case the RLP-encoded header satisfies the condition.
- 2. EVMFactsRegistry's internal get_account() will compute account hash incorrectly in case the account satisfies the condition.
- MMR: Incorrect Root Update possible, Insufficient Peaks Validation:
- 1. All the calls to MMR.append() in HeaderStore and TimestampRemappers are subject to this vulnerability.
- Missing Length Validation In MPT Verify:
- 1. EVMFactsRegistry's get_storage() and internal get_account() may revert on some valid proofs. And a forged key may succeed in verification.
- reverse_endianness_u64() Discards Leading Zeros:
- 1. TimestampRemappers's extract_header_block_number_and_timestamp() may return incorrect block number and timestamp if their little endian representations have leading zero bytes.

The Herodotus CairoLib has been reviewed in a separate report, please refer to it for details on the underlying issues.

Code corrected:

All issues have been resolved in the underlying CairoLib code. For details please refer to the separate report.



6.3 Empty/inexistent Storage Slots Can Not Be Proven

Correctness High (Version 1) Code Corrected

CS-HRDS-007

Unused / non-existing storage slots of an account default to a value of 0x0. These slots cannot be proven successfully as the mpt.verify() fails or starting from (Version 5) of the code, mpt.verify() returns an empty list which cannot be successfully RLP-decoded.

This e.g. prevents proving zero balance of an ERC20 token.

Code corrected:

Proving unset slots / slots with a value of zero is now possible. The change introduced in (Version 5) related to non inclusion proofs allows to prove non-existence of a key in a trie. evm_facts_registry.get_storage() has been updated to handle the case of a successful non inclusion verification.

Note that the code doesn't allow to prove any storage slot for non-existing accounts. Similarly for accounts without storage the storage root is initialized to the keccak of 0x80 0x56e81f171bcc55a6ff8345e692c0f86e5b48e01b996cadc001622fb5e363b421. **No storage** slots can be proven for these accounts.

6.4 MMR: Verify Against an Intermediate Node Is **Possible**

Security High (Version 1) Code Corrected

CS-HRDS-018

From the perspective of mmr.verify_proof(), it cannot distinguish the value verifying against is a leaf or an intermediate node (a Poseidon hash of its two children). Thus theoretically you can verify against any node that is inside the MMR. Herodotus on Starknet uses MMRs in both Headers Store and Timestamp Remappers. It is unlikely to abuse the verification of an intermediate node in the former, nevertheless, it is feasible in the latter.

In the following we denote a block header as h, Poseidon hash double operation as F and hash many as G, and Poseidon hash of a block header as ph=G(h).

Headers Store: the MMRs leaves store the Poseidon hashes of RLP-encoded block headers (leaf=G(h)). In case one wants to abuse an intermediate node (node=F(ph1, ph2)), it needs to find a fake block header(h3), so that the following equation holds:

$$F(ph1, ph2) = = G(h3)$$

Since hash double and hash many starts with different third states in the underlying hades permutation, it is unlikely to find a header (h3) that satisfies the equation above.

Timestamp Remappers: the MMRs leaves store the block timestamps directly without hashing. Upon querying get_closest_l1_block_number(), users need to pass the MMR inclusion proofs of mid point leaf nodes against one mapper's MMR during the binary search.

It seems unlikely to replace a **leaf** node with an **intermediate** node as the leaf index is fixed with respect to the mid point. However, mmr.verify_proof() reconstructs the peak according to the length of the proofs instead of the tree height reflected by the index. Consequently, one can replace a leaf node (a block timestamp) with an intermediate node (a Poseidon hash) to manipulate the result of binary search.



Code corrected:

This has been fixed in the underlying library. Verification against intermediate nodes is no longer possible. For details please refer to issue 5.5 MMR Verify Proof: Different Nodes Can Us the Same Index of the CairoLib report.

6.5 Incorrect Expected Block Computation

Correctness Medium Version 1 Code Corrected

CS-HRDS-009

In contract timestamp remapper's reindex_batch(), the expected_block will be computed based on the start block (start_block) and the element amount (elements_count) of this remapper:

```
let mut expected_block = 0;
if (mapper.elements_count == 0) {
    expected_block = mapper.start_block;
} else {
    expected_block = mapper.start_block + mapper.elements_count + 1;
}
```

This calculation in the else branch is incorrect. For example, in case the start block number is 0 and the current elements count is 1, the expected next block should be block 1, nevertheless, the else branch will return 2.

Code corrected:

The computation of expected_block has been simplified and corrected.

6.6 Remapper Last_Timestamp Can Be Reset to 0

Correctness Medium Version 1 Code Corrected

CS-HRDS-010

In contract timestamp remapper's reindex_batch(), the corresponding mapper.last_timestamp will be set to a local variable last_timestamp, which is 0 at the beginning, and gets updated according to the last element in the input block headers. However, if there is no element in the input batch, one can skip the loop and directly reset the mapper.last_timestamp to 0.

This can invalidate all the consecutive calls to <code>get_closest_ll_block_number()</code>, since all valid block timestamps are larger than 0, which will be larger than <code>mapper.last_timestamp</code> and the function will revert.

Code corrected:

The function now reverts if the input is an empty batch, this resolves the issue described above.



6.7 Unchecked Slot Length



CS-HRDS-012

In evm facts registry, function prove_storage accepts an input slot, whose name is misleading since what it actually expects is keccak256(slot) as per Ethereum MPT specification (see ref.1 and ref.2). Moreover, users have full control over the input slot_len, which indicates the length of the key in the MPT. This leads to the following consequences:

- The legitimate keys of storage slots are keccak256 digest with a 64-nibble (32-byte) fixed length. Thus the slot values should be stored in leaf nodes. However, now a user can get the value stored in a branch node with a key less than 64-nibble long, which should not be a valid use case.
- In prove_storage(), the storage value will be retrieved according to the slot_len (only partial of input slot), nevertheless, the whole slot (instead of the first slot_len nibbles) will be stored as the key for the retrieved value.

In summary, this enables the proving of values in branch node and storing values in branch node as slot values. This applies to <code>get_storage()</code> as well.

Code corrected:

The input argument slot is now hashed inside the functions before being used as key. This guarantees that the keys length is always fixed to 64 nibbles. The name of the variable is no longer misleading since it now actually represents the slot.

6.8 End Block May Underflow if the Start Block Is 0



CS-HRDS-008

In contract headers store, users can append a batch of block hashes (process_batch()) to an MMR via two approaches:

- 1. First block received from the inbox: submit a reference block to query from received_blocks. And the start_block will be set to the reference_block-1
- 2. First block already included in an MMR: submit an inclusion proof of the first element towards the current MMR. In case the reference_block is None, the start_block will be read directly from the first header.

The function parameters with data for both approaches (reference_block and mmmr_index + mmr_proof) are of type Option<T>, since for normal usage only one of both should be supplied.

In case the reference_block is not None in the second approach (passing mmr_index with mmr_proof), the start_block (initialized to 0) will not be updated, and the function may revert due to underflow when computing the block_end.

Code corrected:

Passing a reference block alongside an MMR proof is now prevented.



6.9 Missing OwnershipRenounced Event by Transferring to Zero Address

Design Low Version 1 Code Corrected

CS-HRDS-011

In the commitments inbox contract, there is no sanity checks of the <code>new_owner</code> in <code>transfer_ownership()</code>. Thus, the owner can renounce its ownership by either calling <code>renounce_ownership()</code> or transferring ownership to zero address (<code>transfer_ownership()</code>). However, in the second option, <code>transfer_ownership()</code> only emits an event <code>OwnershipTransferred</code> instead of <code>OwnershipRenounced</code>.

Code corrected:

If the ownership is transferred to the zero address using transfer_ownership(), the event OwnershipRenounced is now emitted instead of the event OwnershipTransferred.

6.10 Close to Ressource Limit in Starknet

Informational Version 1 Code Corrected

CS-HRDS-020

As of October 2023 with Starknet v0.12.2 the current step limit per transaction is 3 million, and gas limit is 3 million per block.

The complexity of executing <code>prove_account()</code> and <code>prove_storage()</code> depend on the position of the account and the storage slot in the state trie. For most accounts and slots this is feasible to execute with less than 2.5 million steps.

It is anticipated that the step limit and gas efficiency in starknet will be increased in the near future.

Code corrected:

The code was optimized significantly:

- The RLP decoder now implements rlp_decode_list_lazy which allows to decode only the required elements.
- The eth_mpt() implementation now makes use of lazyBranch helpers: Instead of having to load all elements within a branch node entirely, it only loads the designated element of a branch node.

6.11 Duplicated Peaks of Proof_Element

Informational Version 1 Code Corrected

CS-HRDS-015

In mmr_binary_search() of Timestamp Remappers, each proof to be verified against a mid point has a peaks field in proof_element. Given the fact that the MMR will not be modified during the binary search, it is redundant to duplicate the peaks within every proof element.

Code corrected:



Herodotus has removed the peaks entry from the individual proof element and only submit it once in the input.

6.12 Option<T> but Only Actual Element Supported

Informational Version 1 Code Corrected

CS-HRDS-013

Struct BinarySearchTree of the timestamp remapper defines field left_neighbor as Option<ProofElement>. The implementation doesn't support an option of this element / None and only works if there is a ProofElement.

Code corrected:

Code has been updated to only verify the left_neighbor in case it has not been verified within the binary search.

6.13 Unnecessary Retrieval of Header Store

Informational Version 1 Code Corrected

CS-HRDS-014

In the Timestamp Remappers mmr_binary_search function, the address of the headers store is retrieved:

```
// Retrieve the header store address
let headers_store_addr = self.headers_store.read();
```

The headers store however is not used in this function. The validity of the data in the MMR is verified in reindex_batch() when new elements are added. When doing the binary search in the MMR it is only ensured that the elements are indeed part of the MMR.

Code corrected:

This has been removed from the code.

6.14 Unused FormatWords64 Library

Informational Version 1 Code Corrected

CS-HRDS-016

FormatWords64 is implemented and imported in L1MessagesSender, whereas it is never used.

Code corrected:

FormatWord64 has been removed from the codebase.



6.15 Unused ProofElement.last_pos

Informational Version 1 Code Corrected

CS-HRDS-019

Struct ProofElement of the timestamp remapper defines a field last_pos which is never used.

Code corrected:

The unused element has been removed from the struct.



7 Informational

We utilize this section to point out informational findings that are less severe than issues. These informational issues allow us to point out more theoretical findings. Their explanation hopefully improves the overall understanding of the project's security. Furthermore, we point out findings which are unrelated to security.

7.1 Front-running Process Batch, Verify Mmr, Reindex Batch

Informational Version 1

CS-HRDS-003

If front-running is feasible (consider decentralized sequencers in the future), this could be leveraged to revert certain transactions:

- Header_store.process_batch(): if an MMR inclusion proof is submitted, it will be verified against the current MMR. A call to process_batch() can be front-run by another call to process_batch() to update the peaks and revert the former call.
- verify_mmr_inclusion(): a call to this function can be front-run by another call to update the MMR and thus invalidate the previous proofs.
- timestamp_remappers.reindex_batch(): a call to reindex_batch can be front-run by a another call to it, which invalidates the previous call.

For public functions branching from existing mmr's (create_branch_from() and create_branch_single_element()) callers now additionally supply last_pos in addition to the mmr_id. This allows to verify the supplied proof against the historic mmr successfully even in case a preceding transaction updated the specific mmr.

7.2 Merge128 Discards Higher 128 Bits of Upper Input

Informational Version 1

CS-HRDS-004

merge128() accepts two uint256 inputs (lower and upper) and merges them into one uint256. It is expected that both inputs only use the lower 128 bits of u256. It checks the lower does not exceeds $\max(\text{uint128})$, whereas the upper is not checked and its higher 128 bits will be discarded if they contain non zero bits.

Herodotus is aware and states this is not applicable to their codebase.

7.3 Unrestricted Aggregator ID and MMR Size

Informational Version 1

CS-HRDS-005



In L1MessagesSender, the aggregator id and MMR size are represented as uint256. They are not checked and directly used as fields in the L1 -> L2 message payload. As a result, they may exceed the maximum usize, which is the expected type of inputs in Commitments Inbox.

Besides, in Starknet the basic data type is felt252. As the aggregator id and MMR size are not restricted to fit in a felt252, in case they exceed max(felt252), the message will be stuck and never consumed on L2.



8 Notes

We leverage this section to highlight further findings that are not necessarily issues. The mentioned topics serve to clarify or support the report, but do not require an immediate modification inside the project. Instead, they should raise awareness in order to improve the overall understanding.

8.1 EIP-161

Note Version 1

In the early days of Ethereum, empty accounts could remain in the state trie. Empty in this context means the account has a nonce of zero, zero balance and no code but might have some storage entries.

EIP-161 introduced in the Spurious Dragon hardfork at Block 2'675'000 on mainnet prevents new empty accounts and enables removal of existing empty accounts out of the state trie if a transaction touches them.

Since these empty accounts are valid accounts of the state trie, these accounts and their storage can be proven with Herodotus.

As per block 14049881 all empty accounts are supposed to have been removed from the state trie.

For more information please refer to the EIP: https://eips.ethereum.org/EIPS/eip-161

This stagnant EIP contains more examples and lists affected addresses: https://eips.ethereum.org/EIPS/eip-4747

8.2 Ethereum Block Header May Be Subject to Future Updates

Note Version 1

The fields included in an Ethereum block header may change in future upgrades. It is expected that new fields will only be appended to the current block header, which shall not break the compatibility with Herodotus. See an example from the last Shanghai upgrade: https://eips.ethereum.org/EIPS/eip-4895#new-field-in-the-execution-payload-header-withdrawals-root. Other changes of the block header (which are unlikely and not expected to happen) would break Herodotus.

8.3 None Block Header Exists in MMR

Note Version 1

In the constructor of header store, the MMR with id 0 is initialized with the hash of an initial element "brave new world", which is not a legitimate block hash. This MMR can also be forked to another MMR with a different id via create_branch_from(). All external systems that integrate with verify_mmr_inclusion() or verify_historical_mmr_inclusion() should execute caution and enforce the target it verifies against is a legitimate block hash.

